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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10

Application Number

10/810,744

Filing Date

03/26/2004

First Named Inventor

David S. F. Young

Art Unit

1616

Examiner Name

Attorney Docket Number

2056.036

### ENCLOSURES (Check all that apply)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Change of Correspondence Address                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
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| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application    | <input type="checkbox"/> Landscape Table on CD                            |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	McHale & Slavin, P.A.		
Signature			
Printed name	Ferris H. Lander		
Date	6/27/2005	Reg. No.	43,377

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICANT : David S. F. Young et al  
INVENTION : CYTOTOXICITY MEDIATION OF CELLS  
EVIDENCING SURFACE EXPRESSION OF MCSP  
SERIAL NUMBER : 10/810,744  
FILING DATE : March 26, 2004  
EXAMINER :  
GROUP ART UNIT : 1616  
ATTORNEY DOCKET NO. : 2056.036

CERTIFICATE UNDER 37 CFR §1.8(a)

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
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Sir:

Pursuant to the duty of disclosure under 37 CFR §1.56, the references cited on the accompanying forms PTO/SB/08A and PTO/SB/08B are hereby brought to the attention of the Examiner for independent evaluation. Copies of those references previously made of record in parent U. S. Patent Application No. 10/762,129, filed January 20, 2004, upon which the present application claims priority under 35 USC §120, have not been included pursuant to 37 CFR §1.98(d). Copies of the newly-cited references for the above application have been included.

Applicants submit that the present invention is patentable over the cited references.

Date

6/27/2005

Respectfully submitted,

By

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Sheet	1	of	8	Application Number	10/810,744
				Filing Date	03/26/2004
				First Named Inventor	David S. F. Young
				Art Unit	1616
				Examiner Name	
				Attorney Docket Number	2056.036

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,861,581	08/29/1989	Epstein et al	
		US- 5,171,665	12/15/1992	Hellstrom et al	
		US- 5,484,596	01/16/1996	Hanna, Jr., et al	
		US- 5,693,763	12/02/1997	Codington et al	
		US- 5,750,102	05/12/1998	Eisenbach et al	
		US- 5,780,033	07/14/1998	Torchilin et al	
		US- 5,783,186	07/21/1998	Arakawa et al	
		US- 5,849,876	12/15/1998	Linsley et al	
		US- 5,869,045	02/09/1999	Hellstrom et al	
		US- 5,869,268	02/09/1999	Kudo et al	
		US- 4,879,225	11/07/1989	Morgan et al	
		US- 5,017,693	05/21/1991	Hylarides et al	
		US- 5,034,223	07/23/1991	Abrams et al	
		US- 5,112,954	05/12/1992	Abrams et al	
		US- 5,270,202	12/14/1993	Raychaudhuri	
		US- 5,493,009	02/20/1996	Ferrone	
		US- 5,580,774	12/03/1996	Beavers et al	
		US- 5,707,603	01/13/1998	Toner et al	
		US- 5,780,029	07/14/1998	Ferrone	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO92/16646	10/01/1992	IDEC Pharmaceuticals Corp		
		EP380607	12/14/1994	Ferrone et al		

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Sheet	3	of	8	Application Number	10/810,744
				Filing Date	03/26/2004
				First Named Inventor	David S. F. Young
				Art Unit	1616
				Examiner Name	
				Attorney Docket Number	2056.036

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		T. KARPANEN et al, "Vascular endothelial growth factor C promotes tumor lymphangiogenesis and intralymphatic tumor growth", Cancer Research, 61:1786-1790 (March, 2001)	
		W. WAUD et al, "Characterization of in vivo mammary and prostate tumor xenograft models for growth and response to clinical anticancer agents", Contrib Oncol Basel Karger, 54:305-315 (1999)	
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		S. HIRSCHFELD et al, "Oncology drug development: United States Food and Drug Administration perspective", Critical Reviews in Oncology/Hematology, 42:137-143 (2002)	

Examiner Signature		Date Considered	
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				Application Number	10/810,744
				Filing Date	03/26/2004
				First Named Inventor	David S. F. Young
				Art Unit	1616
				Examiner Name	
Sheet	4	of	8	Attorney Docket Number	2056.036

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		P. THERASSE et al, "New guidelines to evaluate the response to treatment in solid tumors", Journal of the National Cancer Institute, 92(3):205-216 (February, 2000)	
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		T. BUMOL et al, "Unique glycoprotein-proteoglycan complex defined by monoclonal antibody on human melanoma cells", Proc. Natl. Acad. Sci. USA, 79(4):1245-1249 (February, 1982)	
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		K. EISENMANN et al, "Melanoma chondroitin sulphate proteoglycan regulates cell spreading through Cdc42, Ack-1 and p130cas", Nature Cell Biology, 1:507-513 (December, 1999)	
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				Application Number	10/810,744
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Sheet	5	of	8	Attorney Docket Number	2056.036

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		A. MITTELMAN et al, "Human high molecular weight melanoma-associated antigen (HMW-MAA) mimicry by mouse anti-idiotypic monoclonal antibody MK2-23: induction of humoral anti-HMW-MAA immunity and prolongation of survival in patients with stage IV melanoma", Proc. Natl. Acad. Sci. USA, 89:466-470 (January, 1992)	
		H. MING YANG et al, "Doxorubicin conjugated with a monoclonal antibody directed to a human melanoma-associated proteoglycan suppresses the growth of established tumor xenografts in nude mice", Proc. Natl. Acad. Sci. USA, 85:1189-1193 (February, 1988)	
		M. KUSAMA et al, "Characterization of syngeneic anti-idiotypic monoclonal antibodies to murine anti-human high molecular weight melanoma-associated antigen monoclonal antibodies", J. Immunol., 143(11):3844-3852 (December, 1989)	
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Sheet	6	of	8	Attorney Docket Number	2056.036

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		K. IMAI et al, "Higher cytolytic efficiency of an IgG2a than of an IgG1 monoclonal antibody reacting with the same (or spatially close) determinant on a human high-molecular-weight melanoma-associated antigen", Cellular Immunology, 72:239-247 (1982)	
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		E. NEUWELT et al, "Increased delivery of tumor-specific monoclonal antibodies to brain after osmotic blood-brain barrier modification in patients with melanoma metastatic to the central nervous system", Neurosurgery, 20 (6):885-895 (June, 1987)	
		G. GOODMAN et al, "Pilot trial of murine monoclonal antibodies in patients with advanced melanoma", J. Clin Oncol, 3(3):340-352 (March, 1985)	
		R. REISFELD, "Immunochemical characterization of human tumor antigens", Seminars in Oncology, 13(2):153-164 (June, 1986)	
		P. GARIN-CHESA et al, "Cell surface molecules of human melanoma immunohistochemical analysis of the gp57, GD3, and mel-CSPG antigenic systems", American Journal of Pathology, 134(2):295-303 (February, 1989)	

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		H. JACQUES GARRIGUES et al, "The melanoma proteoglycan: restricted expression on microspikes, a specific microdomain of the cell surface", J. Cell Biol., 103:1699-1710 (November, 1986)	
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		W. RETTIG et al, "Human melanoma proteoglycan: expression in hybrids controlled by intrinsic and extrinsic signals", Science, 231:1281-1284 (March, 1986)	
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		I. HELLSTROM et al, "Studies of a high molecular weight human melanoma-associated antigen", J. Immunol., 130(3):1467-1472 (March, 1983)	
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		K. IMAI et al, "Selective in vitro toxicity of puromycin conjugated to the monoclonal antibody 225.28S to a human high-molecular-weight melanoma-associated antigen", Cancer Immunol Immunother., 15:206-209 (1983)	
		K. IMAI et al, "Monoclonal-antibodies to human melanoma-associated antigens", Transplantation Proceedings, 12(3):380-383 (September, 1980)	

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/810,744
				Filing Date	03/26/2004
				First Named Inventor	David S. F. Young
				Art Unit	1616
				Examiner Name	
Sheet	8	of	8	Attorney Docket Number	2056.036

NON PATENT LITERATURE DOCUMENTS			
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		A. MITTELMAN et al, "Human high molecular weight-melanoma associated antigen mimicry by mouse anti-idiotypic monoclonal antibody MK2-23: modulation of the immunogenicity in patients with malignant melanoma", Clinical Cancer Research, 1:705-713 (July, 1995)	
		M. SALEH et al, "Immunologic response to the dual murine anti-Id vaccine melimmune-1 and melimmune-2 in patients with high-risk melanoma without evidence of systemic disease", J. Immunother., 21(5):379-388 (1998)	
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		W. QUAN et al, "Active specific immunotherapy of metastatic melanoma with an antiidiotypic vaccine: a phase I/II trial of I-Mel-2 plus SAF-m", J. Clin Oncol., 15(5):2103-2110 (May, 1997)	

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